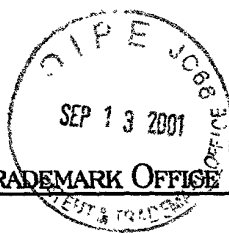




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,873	05/25/2001	John J. Rossi	1954-330

CONFIRMATION NO. 2281

6449
ROTHWELL, FIGG, ERNST & MANBECK, P.C.
555 13TH STREET, N.W.
SUITE 701, EAST TOWER
WASHINGTON, DC 20004

FORMALITIES LETTER



OC00000006292265

Date Mailed: 07/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$260.
 - \$180 for 10 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1100.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/864,873

A copy of this notice MUST be returned with the reply.

HA

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

703 308 1202

1954-330
SAS:lew



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
John J. ROSSI et al.)
)
Serial No.: 09/864,873) **Box Missing Parts**
)
Filed: May 25, 2001)
)
For: NUCLEOLAR TARGETING OF) Attention:
THERAPEUTICS AGAINST HIV) Applications Branch
)

PETITION TO ACCEPT COLOR PHOTOGRAPHS
UNDER 37 C.F.R. § 1.84(a)(2)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit three (3) copies of the color photographs (submitted concurrently with all of the formal drawings) which comprise Figures 3A-G, , Figure 8A-H and 9A-L of the drawings, in compliance with the provisions of 37 C.F.R. § 1.84(a)(2). Also included is the requisite petition fee set forth in 37 C.F.R. §1.17(h). In view of the interim waiver that black and white photocopies of the color drawings are no longer necessary, no black and white photocopies of the color photographs are being submitted. It is believed that color drawings are necessary to accurately and completely show the immunofluorescence staining of cells, which immunofluorescence is shown in various colors to represent differential staining. These photographs show the actual experimental results which are in color and are not graphic drawings which can be shown in black and white.

Serial No. 09/864,873

If any additional fees or overpayments are noted, the same should be charged or credited to Deposit Account No. 02-2135. One additional copy of this Petition is enclosed for that purpose.

Respectfully submitted,



Stephen A. Saxe
Reg. No. 38,609
Rothwell, Figg, Ernst & Manbeck, P.C.
555 Thirteenth St., N.W., Suite 701 East Tower
Washington, D.C. 20004
Telephone (202) 783-6040

Enclosures
1954-330.PET

1954-330 "E-249869"

954-330
SAS:lew



Serial No. 09/864,873

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
John J. ROSSI et al.) **Box Missing Parts**
Serial No. 09/864,873) Attention:
Filed: May 25, 2001) Applications Branch
For: NUCLEOLAR TARGETING OF)
THERAPEUTICS AGAINST HIV)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated July 13, 2001, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 65.00 (surcharge under 37 C.F.R. 1.16(e))
355.00 (basic filing fee)
130.00 (additional claims fee)
\$ 550.00 TOTAL

Serial No. 09/864,873

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document is being submitted concurrently under separate cover:

- Recordation Coversheet w/Assignment document.
- Petition to Submit Color Photographs (submitted concurrently with all the formal drawings).

Respectfully submitted,

By

Stephen A. Saxe

Stephen A. Saxe, Ph.D.

Attorney for Applicants

Registration No. 38,609

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Enclosures